

Doctorates

D

D

These regulations apply to all PhD candidates enrolled from 1 December 2007. Candidates first enrolled at an earlier date continue under the regulations in force at that time (but see Clause 13 for transition provisions).

For guidelines to these Regulations and further information, consult the Postgraduate Office or the Policy Library.

1. N

- (a) Study for the degree of Doctor of Philosophy involves a sustained, rigorous and systematic approach to the relevant body of knowledge, undertaken through experimentation, archival work, or other appropriate means. It includes an original research project that makes a significant contribution to knowledge and understanding or application of knowledge. It requires the preparation of a substantial thesis that presents the outcome of the research and places it in the broader framework of the discipline or field of study. Undertaken under qualified supervision, it promotes intellectual independence and the capacity to undertake further research at an advanced level.
- (b) The thesis must meet recognised international standards for such work.
- (c) The thesis must be submitted in English or te reo Māori and may not exceed 100,000 words in total, excluding appendices, without the permission of the Dean of Postgraduate Studies. Candidates wishing to submit and defend a thesis in Māori must seek approval at the time of registration. A recommendation will be made by the Assistant Vice-Chancellor (Māori) or nominee as to the candidate's fluency and literacy in te reo Māori in the thesis subject-area and the likelihood of being able to find appropriately qualified examiners for the thesis.
The subject areas for the degree of Doctor of Philosophy are: Accounting and Information Systems (ACIS); Accountancy, Finance and Information Systems (AFIS); American Studies (AMST); Antarctic Studies (ANTA); Anthropology (ANTH); Applied Psychology (APSY); Art History (ARTH); Art Theory (ARTT); Astronomy (ASTR); Audiology

(D)

(CMDS); Biochemistry (BCHM); Bioengineering (ENBI); Biotechnology (BIOT); Cellular and Molecular Biology (CEMB); Chemical and Process Engineering (ENCH); Chemistry (CHEM); Chinese (CHIN); Cinema Studies (CINE); Civil Engineering (ENCI); Classics (CLAS); Computational and Applied Mathematics (CAMS); Computer Science (COSC); Cultural Studies (CULT); Ecology (ECOL); Economics (ECON); Education (EDUC); Electrical and Electronic Engineering (ENEL); Engineering Geology (ENGE); Engineering Management (ENMG); English (ENGL); Environmental Science (ENVR); European Studies (EURO); Evolutionary Biology (EVOL); Finance (FINC); Fire Engineering (ENFE); Forestry (FORE); Forestry Engineering (ENFO); French (FREN); Gender Studies (GEND); Geography (GEOG); Geology (GEO); German (GRMN); Hazard and Disaster Management (HAZM); Health Sciences (HLTH); Higher Education (HEDN); History (HIST); History and Yānology (P BIO); P
A

ogy (PSYC); Religious Studies (RELS)*; Russian (RUSS); Science Education (SCED); Social Work (SOWK); Sociology (SOC); Spanish (SPAN); Speech and Language Sciences (CMDS); Statistics (STAT); Theatre and Film Studies (TAFS); Transportation Engineering (ENTR); Zoology (ZOO).

**This subject is not open to new enrolments. Students enrolled in the PhD may complete their qualification provided that they do so by 31 December 2015.*

2. C

- (a) Every candidate, before enrolling for the degree, must be approved as a candidate by the Dean of Postgraduate Studies.
- (b) Every candidate for the degree must either:
 - i. have qualified in New Zealand for a Bachelor's degree with first or second class honours, or a Master's degree at the standard of first or second class honours, or the equivalent; or
 - ii. have been admitted ad eundem to the status of a graduate equivalent to (i) above.
- (c) The Dean of Postgraduate Studies must be satisfied that the candidate has adequate training and ability to pursue the proposed course.
- (d) In special circumstances, the Dean of Postgraduate Studies may approve the enrolment of a graduate who does not hold one of the qualifications under Regulation 2(b), but who has produced satisfactory evidence of adequate training and ability to pursue the proposed course.

3. E

- (a) A candidate shall apply for enrolment on the 'PhD Application' form. The form, which must be signed by the Head of Department/School, must nominate a potential Senior Supervisor, indicate the general field of study, and recommend a date of enrolment. If the enrolment is approved by the Dean of Postgraduate Studies, he or she shall confirm the date of enrolment.
- (b) Once enrolled, the candidate shall work to develop a research proposal under the guidance of a potential Senior Supervisor who shall normally be a continuing academic staff member. Within six months of enrolment for the degree, the candidate shall present a proposal to the Head of Department/School for approval and forwarding to the Dean of Postgraduate Studies for approval and formal registration. Failure to obtain such approvals within six months may lead to termination of enrolment.
- (c) The research proposal will not be approved until the student has completed an induction course approved by the Dean of Postgraduate Studies.
- (d) The research proposal must be accompanied by the PhD Registration form (Re7 Tm(The /SpanAnied by)-10(J)Jsxoatees.DT/T11_1 TfODC BairBDC ilable f(theC BT 8 53.8583 14

- to pursue satisfactorily the necessary study and research.
- iii. The maximum period of enrolment for a part-time candidate is normally seven years, and the Dean of Postgraduate Studies will not approve part-time enrolment unless satisfied that the candidate can devote sufficient time to study and research to be able to present a thesis within this time. If extenuating circumstances related to the student's research can be demonstrated, the Dean of Postgraduate Studies may extend this period up to eight years.
 - iv. For a part-time candidate the minimum period of enrolment shall be not less than 36 months.
 - v. The minimum period of enrolment for students of the University or other educational institutions shall normally be four years
- (c) After the commencement of study and research for the degree, a candidate may, with the permission of the Dean of Postgraduate Studies, transfer from part-time to full-time status, or



contextual report on the student's thesis within four weeks of the submission of the thesis. The contextual report must be submitted to the Postgraduate Office, which shall forward it to the Dean of Postgraduate Studies and to the candidate. If the candidate has any concerns over the contextual report, the candidate should raise the matters with the Dean of Postgraduate Studies within a week of receiving the report.

- (i) After both examiners' reports have been received, copies shall be given to the Senior Supervisor, who has the right to make a written report on any aspect of the examiners' reports. The Senior Supervisor's report, signed by all members of the supervisory team, shall be submitted to the Postgraduate Office, which will forward it to the Dean of Postgraduate Studies.
- (j) When the examiners' reports, the supervisors' contextual report, any formal comments from the candidate on the contextual report and any formal comments by the Senior Supervisor on the examiners' reports have been received, the Dean of Postgraduate Studies shall determine whether the oral examination should proceed at that point. In making this decision, the Dean may correspond with the examiners in which case the nature and substance of any correspondence must be recorded. The Dean may also seek new examiners' reports, replace one or more examiners or appoint an additional examiner.
- (k) Oral examination
 - i. There shall be an oral examination of the candidate on the subject of the thesis and on the general field to which the subject belongs.
 - ii. The Dean of Postgraduate Studies shall, after consultation with the Head of Department/School or postgraduate coordinator, appoint a senior member of the University staff to organise and preside at the oral examination. The organiser of the oral examination shall act as a neutral chair and does not have voting rights, but may ask questions of the candidate. He or she shall ensure that questions posed by the absent examiner are put to the candidate.
 - iii. The examiners' reports shall be released to the candidate by the organiser of the oral examination no more than one week and no less than three days prior to the oral examination. An examiner's name may not be withheld, though specific sections of a report may be, at the examiner's request.
 - iv. The oral examination shall be conducted by

one of the examiners. The other examiner may take part in the examination with the approval of the Dean of Postgraduate Studies.

- v. The Senior Supervisor shall also be present as an observer and participate to the extent requested by the organiser.
 - vi. The candidate shall have the right to have a maximum of two persons present at the oral examination as observers. Other persons may also be present as observers with the agreement of the candidate and the organiser. The observers must remain silent unless the organiser allows otherwise, and the observers must not disrupt the examination in any way, whether audibly or otherwise.
 - vii. At the request of the examiners, the candidate may be required to sit a written examination following the oral examination.
 - viii. In exceptional circumstances, an oral examination may be waived by the Vice-Chancellor on the advice of the Dean of Postgraduate Studies.
- (l) After the oral examination and any written examination, the examiners shall, after consultation, submit to the Postgraduate Office the PhD Final Joint Examiners' Report Form, together with a full report on the whole examination. They shall recommend that the thesis:
 - i. be accepted, the degree awarded and the candidate placed on the Dean's list in recognition of excellence;
 - ii. be accepted, and the degree awarded;
 - iii. be accepted and the degree awarded after minor amendments have been made by a specified date to the satisfaction of one of the examiners nominated by the person presiding at the oral examination;
 - iv. be rejected in its present form, but be revised and re-submitted for re-examination by a specified date;
 - v. be accepted for another degree, with or without amendments;
 - vi. be rejected with no right of resubmission.
 - (m) At the conclusion of the oral, the organiser may, with the agreement of all the examiners, inform the candidate of the intended recommendation.
 - (n) After the oral examination and receipt of reports, the Dean of Postgraduate Studies shall consider all reports and recommendations, and shall determine the outcome of the examination.
 - (o) In the event of disagreement between the examiners, and after the organiser of the oral examination has taken all reasonable steps to achieve consensus, the Dean of Postgraduate Studies

Notes:

1. *The entry fee of \$2,000 shall be submitted with the application.*
2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

D D E (DE)

- (a) The Degree of Doctor of Engineering shall be awarded for significant original contributions to Engineering.
- (b) A candidate for the Degree of Doctor of Engineering shall hold a degree of the University of New Zealand or of the University of Canterbury or shall have been admitted under the admission ad eundem statum regulations to the status of such degree. No candidate shall be examined for the Degree of Doctor of Engineering until five years have elapsed from having been admitted to the qualifying degree.
- (c) Except in special circumstances approved by the Academic Board no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Engineering until he or she has served a period of five years on the permanent full-time staff of the University.
- (d) The Degree of Doctor of Engineering shall be awarded for published papers, books, designs and inventions by the candidate or in special circumstances for unpublished work, provided that these special circumstances are recognised as sufficient by the Academic Board.
- (e) With the application, a candidate shall forward:
 - i. Three copies of the work referred to in Regulation 3 upon which the application is based.
 - ii. Three copies of any additional work, published or unpublished, which he or she desires to submit in support of the application.
 - iii. A statement signed by the candidate identifying the work which is the candidate's own, and stating that the principal work has not been previously accepted and is not being currently presented for a degree or diploma in any other University.
- (f) Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The work may then be submitted to three examiners, of whom one at least shall be resident outside New Zealand. The candidate may be required by the examiners to sit a written or oral examination. The examiners shall report to the Dean of Postgraduate Studies through the relevant Faculty Dean.

Notes:

1. *The entry fee of \$2,000 shall be submitted with the application.*
2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

D D L (LLD)

- (a) The Degree of Doctor of Laws shall be given for an original contribution or contributions of special excellence to the history, philosophy, exposition or criticism of Law.
 - i. A candidate shall either:
 - a. hold the Degree of Master of Laws of a university in New Zealand; or
 - b. hold the Degree of Doctor of Philosophy in the Faculty of Law of a university in New Zealand; or
 - c. have been admitted ad eundem statum to the status of Master of Laws in the University of Canterbury.
 - ii. No candidate shall be examined for the Degree of Doctor of Laws until five years have elapsed from having been admitted to the qualifying degree. Except in special circumstances approved by the Academic Board no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Laws until he or she has served a period of five years on the permanent full-time staff of the university.
- (b) The Degree shall be awarded on the basis of published work of the candidate or, in special circumstances approved by the Academic Board and subject to the provisions of Regulation 5(3) hereof, an unpublished work.

- (c) A candidate must apply in writing for the Degree and with the application must forward:
- i. Three copies of the work referred to in Regulation 3 upon which the application is based (hereinafter referred to as 'the principal work');
 - ii. Any additional work, published or unpublished, including conjoint papers, which he or she may wish to submit in support of the application;
 - iii. A certificate signed by the candidate stating:
 - a. the sources from which the information is derived and the extent to which the candidate has availed himself or herself of the work of others;
 - b. to what extent the candidate claims the work submitted to be his or her own;
 - c. if the principal work has previously been submitted for a degree or diploma of any university and the circumstances of that submission;
 - iv. A statement in writing setting forth the subject or subjects within the purview of the

D



